

# ON THE WAVE EQUATION WITH QUADRATIC NONLINEARITIES IN THREE SPACE DIMENSIONS

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ABSTRACT. The Cauchy problem for the nonlinear wave equation

$$\square u = (\partial u)^2, \quad u(0) = u_0, \quad u_t(0) = u_1$$

in three space dimensions is considered. The data  $(u_0, u_1)$  are assumed to belong to  $\widehat{H}_s^r(\mathbb{R}^3) \times \widehat{H}_{s-1}^r(\mathbb{R}^3)$ , where  $\widehat{H}_s^r$  is defined by the norm

$$\|f\|_{\widehat{H}_s^r} := \|\langle \xi \rangle^s \widehat{f}\|_{L_{\xi}^{r'}}, \quad \langle \xi \rangle = (1 + |\xi|^2)^{\frac{1}{2}}, \quad \frac{1}{r} + \frac{1}{r'} = 1.$$

Local well-posedness is shown in the parameter range  $2 \geq r > 1$ ,  $s > 1 + \frac{2}{r}$ . For  $r = 2$  this coincides with the result of Ponce and Sideris, which is optimal on the  $H^s$ -scale by Lindblad's counterexamples, but nonetheless leaves a gap of  $\frac{1}{2}$  derivative to the scaling prediction. This gap is closed here except for the endpoint case. Corresponding results for  $\square u = \partial u^2$  are obtained, too.

## 1. INTRODUCTION AND MAIN RESULTS

In this note we consider the Cauchy problem

$$(1) \quad \square u = (\partial_t^2 - \Delta)u = B_k(u, u), \quad u(0) = u_0, \quad u_t(0) = u_1$$

for the nonlinear wave equation in  $\mathbb{R}^3$ , where the right hand side is given by

$$(2) \quad B_1(u, v) = \partial(uv) \quad \text{or} \quad B_2(u, v) = \partial u \partial v$$

with  $\partial \in \{\partial_t, \partial_{x_1}, \partial_{x_2}, \partial_{x_3}\}$ , and no special structure of the bilinear forms  $B_k$ ,  $k \in \{1, 2\}$ , such as a null-structure is assumed. Concerning the local well-posedness (LWP) of this problem with data  $(u_0, u_1) \in H^s(\mathbb{R}^3) \times H^{s-1}(\mathbb{R}^3)$  the following is known. For  $s > k + \frac{1}{2}$  energy estimates can be applied to obtain an affirmative result. Ponce and Sideris showed in [15] how to improve this down to  $s > k$  by using Strichartz inequalities. Further progress is possible, if the nonlinearity satisfies a null-condition such as

$$\widetilde{B}_2(u, v) = \langle \nabla_x u, \nabla_x v \rangle - \partial_t u \partial_t v,$$

see the work of Klainerman and Machedon [8], [9], [10], who used wave Sobolev spaces to exploit the null-structure of the bilinear terms, thus reaching LWP for  $s > s_c(k) = k - \frac{1}{2}$ , which is here the critical Sobolev regularity by scaling considerations. If no such structure is present in the quadratic term, one has in fact ill-posedness of the Cauchy problem (1) for  $s \leq k$ , as the sharp counterexamples of Lindblad show, see [12], [13], [14]. So in general there is a gap of half a derivative between the optimal LWP result on the  $H^s$ -scale and the scaling prediction.

For several important nonlinear dispersive equations in *one* space dimension - such as cubic NLS and DNLS, KdV, mKdV and its higher order generalizations -

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there is a similar gap between the best possible LWP result in  $H^s$  and the critical regularity. In the case of cubic nonlinearities this can be closed almost completely by considering data in the spaces  $\widehat{H}_s^r$ , defined by the norm

$$\|f\|_{\widehat{H}_s^r} := \|\langle \xi \rangle^s \widehat{f}\|_{L_\xi^{r'}}, \quad \langle \xi \rangle = (1 + |\xi|^2)^{\frac{1}{2}}, \quad \frac{1}{r} + \frac{1}{r'} = 1,$$

see [3], [4], [7], [5]; for an application in the periodic setting cf. [6]. The purpose of this note is to show that the methods developed in the one-dimensional framework also apply to the nonlinear wave equation (1), (2) in three space dimensions and give LWP for data  $(u_0, u_1) \in \widehat{H}_s^r(\mathbb{R}^3) \times \widehat{H}_{s-1}^r(\mathbb{R}^3)$ , provided  $1 < r \leq 2$  and  $s > s_k(r) := k + 1 - \frac{2}{r'}$ . In the limit  $r \rightarrow 1$  we can almost reach the space  $\widehat{H}_{k+1}^1(\mathbb{R}^3) \times \widehat{H}_k^1(\mathbb{R}^3)$ , which is critical by scaling. To prove this result we use an appropriate variant of Bourgain's Fourier restriction norm method, see [3, Section 2], and estimates for products of two free solutions of half-wave equations. The latter are very much in the spirit of the work of Foschi and Klainerman [1] and can be seen as bilinear substitutes and refinements of the Strichartz inequalities for the three-dimensional wave equation.

## 2. GENERAL ARGUMENTS, FUNCTION SPACES, AND PRECISE STATEMENT OF RESULTS

Following [2, Section 2] we first rewrite (1) as the first order system

$$(3) \quad (i\partial_t \mp J_x)u_\pm = \mp \frac{1}{4}J_x^{-1}B_k(u_+ + u_-) \mp \frac{1}{2}J_x^{-1}(u_+ + u_-),$$

where  $J_x = (1 - \Delta_x)^{\frac{1}{2}}$  is the Bessel potential operator of order  $-1$  in the space variable  $x$ , and  $u_\pm = u \pm iJ_x^{-1}\partial_t u$  so that the initial conditions become

$$(4) \quad u_\pm(0) = u_0 \pm iJ_x^{-1}u_1 =: f_\pm \in \widehat{H}_s^r(\mathbb{R}^3).$$

To treat the system (3) with data given by (4) we will use the function spaces  $X_{s,b}^{r,\pm}$  defined by their norm

$$\|u\|_{X_{s,b}^{r,\pm}} := \left( \int d\xi d\tau \langle \xi \rangle^{sr'} \langle \tau \pm |\xi| \rangle^{br'} |\mathcal{F}u(\xi, \tau)|^{r'} \right)^{\frac{1}{r'}}.$$

For  $s = b = 0$  we write  $\widehat{L}_{xt}^r := X_{0,0}^{r,+} = X_{0,0}^{r,-}$ , correspondingly we set  $\widehat{L}_x^r := \widehat{H}_0^r$ . Local solutions are obtained by the contraction mapping principle in the time restriction space

$$X_{s,b}^{r,\pm}(\delta) := \{u = \tilde{u}|_{[-\delta,\delta] \times \mathbb{R}^3} : \tilde{u} \in X_{s,b}^{r,\pm}\}$$

endowed with the norm

$$\|u\|_{X_{s,b}^{r,\pm}(\delta)} := \inf\{\|\tilde{u}\|_{X_{s,b}^{r,\pm}} : \tilde{u}|_{[-\delta,\delta] \times \mathbb{R}^3} = u\}.$$

We will always have  $b > \frac{1}{r}$ , hence  $X_{s,b}^{r,\pm} \subset C(\mathbb{R}, \widehat{H}_s^r)$  and  $X_{s,b}^{r,\pm}(\delta) \subset C([-\delta, \delta], \widehat{H}_s^r)$ , which gives the persistence property of our solutions. To deal with  $B_2$  - especially if time derivatives are involved - we also need the norms

$$\|u\|_{Z_{s,b}^{r,\pm}} := \|u\|_{X_{s,b}^{r,\pm}} + \|\partial_t u\|_{X_{s-1,b}^{r,\pm}};$$

the corresponding restriction spaces are defined precisely as above. Now our result concerning  $B_1$  reads as follows.

**Theorem 1.** *Let  $1 < r \leq 2$ ,  $s > \frac{2}{r}$ ,  $\frac{1}{r} < b < 1$  and  $f_\pm \in \widehat{H}_s^r$ . Then there exist  $\delta = \delta(\|f_+\|_{\widehat{H}_s^r}, \|f_-\|_{\widehat{H}_s^r}) > 0$  and a unique solution  $(u_+, u_-) \in X_{s,b}^{r,+}(\delta) \times X_{s,b}^{r,-}(\delta)$  of*

(3) with  $k = 1$  satisfying the initial condition (4). The solution is persistent and the flow map

$$(f_+, f_-) \mapsto (u_+, u_-), \quad \widehat{H}_s^r \times \widehat{H}_s^r \rightarrow X_{s,b}^{r,+}(\delta) \times X_{s,b}^{r,-}(\delta)$$

is locally Lipschitz continuous.

Similarly we can show the following for  $B_2$ .

**Theorem 2.** Let  $1 < r \leq 2$ ,  $s > \frac{2}{r} + 1$ ,  $\frac{1}{r} < b < 1$  and  $f_{\pm} \in \widehat{H}_s^r$ . Then there exist  $\delta = \delta(\|f_+\|_{\widehat{H}_s^r}, \|f_-\|_{\widehat{H}_s^r}) > 0$  and a unique solution  $(u_+, u_-) \in Z_{s,b}^{r,+}(\delta) \times Z_{s,b}^{r,-}(\delta)$  of (3) with  $k = 2$  satisfying the initial condition (4). The solution is persistent and the flow map

$$(f_+, f_-) \mapsto (u_+, u_-), \quad \widehat{H}_s^r \times \widehat{H}_s^r \rightarrow Z_{s,b}^{r,+}(\delta) \times Z_{s,b}^{r,-}(\delta)$$

is locally Lipschitz continuous.

The general LWP theorem [3, Theorem 2.3] reduces the proofs of Theorem 1 and 2 to that of bilinear estimates in  $X_{s,b}^{r,\pm}$ -norms. The next section is devoted to the proof of the following key estimate.

**Theorem 3.** Let  $1 < r \leq 2$ ,  $b > \frac{1}{r}$ , and  $\sigma > \frac{2}{r}$ . Then

$$(5) \quad \|J_x^\sigma(uv)\|_{\widehat{L}_{xt}^r} + \|J_x^{\sigma-1}\partial_t(uv)\|_{\widehat{L}_{xt}^r} \lesssim \|u\|_{X_{\sigma,b}^{r,\pm}} \|v\|_{X_{\sigma,b}^{r,[\pm]}},$$

where  $[\pm]$  denotes independent signs.

Assume (5) already to be proven. Concerning  $B_1$  we then have that for all  $b, r$  and  $s = \sigma$  according to the assumptions of Theorem 3 and  $b' \leq 0$

$$\begin{aligned} \|J_x^{-1}\partial(uv)\|_{X_{s,b'}^{r,\pm}} &\leq \|J_x^{-1}\partial(uv)\|_{\widehat{L}_{xt}^r} \\ &\leq \|J_x^s(uv)\|_{\widehat{L}_{xt}^r} + \|J_x^{s-1}\partial_t(uv)\|_{\widehat{L}_{xt}^r} \lesssim \|u\|_{X_{s,b}^{r,\pm}} \|v\|_{X_{s,b}^{r,[\pm]}}, \end{aligned}$$

which combined with [3, Theorem 2.3] leads to Theorem 1, since the linear term on the right of (3) can be trivially taken care of. Similarly for  $B_2$  we have with  $s = \sigma + 1 > 1 + \frac{2}{r}$  and  $r, b, b'$  as before

$$\begin{aligned} \|J_x^{-1}(\partial u \partial v)\|_{Z_{s,b'}^{r,\pm}} &\leq \|J_x^\sigma(\partial u \partial v)\|_{\widehat{L}_{xt}^r} + \|J_x^{\sigma-1}\partial_t(\partial u \partial v)\|_{\widehat{L}_{xt}^r} \\ &\lesssim \|\partial u\|_{X_{\sigma,b}^{r,\pm}} \|\partial v\|_{X_{\sigma,b}^{r,[\pm]}} \lesssim \|u\|_{Z_{s,b}^{r,\pm}} \|v\|_{Z_{s,b}^{r,[\pm]}}, \end{aligned}$$

which is sufficient for Theorem 2.

### 3. PROOF OF THE KEY ESTIMATE

Theorem 3 will be a consequence of several bilinear estimates for free solutions of the half-wave equations  $(i\partial_t \pm D_x)u = 0$ , subject to the initial condition  $u(0) = u_0$ . So for the remaining part of the paper let  $u_{\pm}(t) = e^{\pm it D_x} u_0 = \mathcal{F}_x^{-1} e^{\pm it |\xi|} \mathcal{F}_x u_0$  and  $v_{\pm}(t) = e^{\pm it D_x} v_0$ . By the transfer principle - see e. g. [11, Proposition 3.5] or [3, Lemma 2.1] - the proof of (5) essentially<sup>1</sup> reduces to showing that

$$(6) \quad \|J_x^{\sigma-1}\partial_x(u_{\pm} v_{[\pm]})\|_{\widehat{L}_{xt}^r} + \|J_x^{\sigma-1}\partial_t(u_{\pm} v_{[\pm]})\|_{\widehat{L}_{xt}^r} \lesssim \|u_0\|_{\widehat{H}_\sigma^r} \|v_0\|_{\widehat{H}_\sigma^r}.$$

<sup>1</sup>for low frequencies  $|\xi| \leq 1$  we obtain  $\|J_x^\sigma(uv)\|_{\widehat{L}_{xt}^r} \lesssim \|u\|_{X_{\sigma,b}^{r,\pm}} \|v\|_{X_{\sigma,b}^{r,[\pm]}}$  by Young's inequality and Sobolev type embeddings.

To prove (6) we make substantial use of the calculations in [1]. By symmetry it suffices to consider the  $(++)$ - and  $(+-)$ -cases. For both we calculate the space-time Fourier transform of the product. Defining  $P_{\pm}(\eta) := |\frac{\xi}{2} - \eta| \pm |\frac{\xi}{2} + \eta|$  with  $\nabla P_{\pm}(\eta) = \frac{\eta - \frac{\xi}{2}}{|\eta - \frac{\xi}{2}|} \pm \frac{\eta + \frac{\xi}{2}}{|\eta + \frac{\xi}{2}|}$  and using the properties of the  $\delta$ -distribution we obtain

$$\mathcal{F}u_{+}v_{\pm}(\xi, \tau) = c \int_{P_{\pm}(\eta)=\tau} \frac{dS_{\eta}}{|\nabla P_{\pm}(\eta)|} \widehat{u_0}\left(\frac{\xi}{2} - \eta\right) \widehat{v_0}\left(\frac{\xi}{2} + \eta\right),$$

for more details see [1, Sections 3 and 4]. Observe that the set  $\{P_{+}(\eta) = \tau\}$  ( $\{P_{-}(\eta) = \tau\}$ ) is an ellipsoid (hyperboloid) of rotation, so the  $(++)$ -case ( $(+-)$ -case) is henceforth referred to as elliptic (hyperbolic).

**3.1. The elliptic case.** We choose  $0 < s_{1,2} < \frac{2}{r}$  with  $s_1 + s_2 = \frac{2}{r}$  and use Hölder's inequality to get

$$|\mathcal{F}u_{+}v_{+}(\xi, \tau)| \lesssim \left( \int_{P_{+}(\eta)=\tau} \frac{dS_{\eta}}{|\nabla P_{+}(\eta)|} \left| \frac{\xi}{2} - \eta \right|^{-s_1 r} \left| \frac{\xi}{2} + \eta \right|^{-s_2 r} \right)^{\frac{1}{r}} \times \\ \left( \int_{P_{+}(\eta)=\tau} \frac{dS_{\eta}}{|\nabla P_{+}(\eta)|} |\widehat{J_x^{s_1} u_0}\left(\frac{\xi}{2} - \eta\right) \widehat{J_x^{s_2} v_0}\left(\frac{\xi}{2} + \eta\right)|^{r'} \right)^{\frac{1}{r'}}.$$

For the first factor we apply [1, Lemma 4.1] to see that

$$\begin{aligned} \int_{P_{+}(\eta)=\tau} \frac{dS_{\eta}}{|\nabla P_{+}(\eta)|} \left| \frac{\xi}{2} - \eta \right|^{-s_1 r} \left| \frac{\xi}{2} + \eta \right|^{-s_2 r} \\ = c \int_{-1}^1 |\tau + |\xi|x|^{1-s_1 r}| \tau - |\xi|x|^{1-s_2 r} dx \\ = c \int_{-1}^1 \left| \frac{\tau}{|\xi|} + x \right|^{1-s_1 r} \left| \frac{\tau}{|\xi|} - x \right|^{1-s_2 r} dx \leq c_{s_1, s_2}. \end{aligned}$$

Taking the  $L_{\xi, \tau}^{r'}$ -norm of the second factor and using the coarea formula we arrive at

$$\|u_{+}v_{+}\|_{\widehat{L_{xt}^r}} \lesssim \|J_x^{s_1} u_0\|_{\widehat{L_x^r}} \|J_x^{s_2} v_0\|_{\widehat{L_x^r}}.$$

Unfortunately this argument breaks down, if  $s_1 = 0$  or  $s_2 = 0$ , cf. the necessary condition (9) in [1]. To overcome this difficulty we split  $u_{+}v_{+} = P_{\gtrsim}(u_{+}, v_{+}) + P_{\ll}(u_{+}, v_{+})$ , where

$$\mathcal{F}_x P_{\gtrsim}(f, g)(\xi) := \int_{\xi_1 + \xi_2 = \xi} \widehat{f}(\xi_1) \widehat{g}(\xi_2) \chi_{\{|\xi_1| \gtrsim |\xi_2|\}} d\xi_1.$$

By the preceding we have

$$(7) \quad \|P_{\gtrsim}(u_{+}, v_{+})\|_{\widehat{L_{xt}^r}} \lesssim \|J_x^{\frac{2}{r}} u_0\|_{\widehat{L_x^r}} \|v_0\|_{\widehat{L_x^r}}.$$

To estimate  $P_{\ll}(u_{+}, v_{+})$  we decompose  $u_0$  dyadically into  $u_0 = \sum_{k \geq 0} P_{\Delta k} u_0$  with  $P_{\Delta 0} = \mathcal{F}_x^{-1} \chi_{\{|\xi| \leq 1\}} \mathcal{F}_x$  and, for  $k \geq 1$ ,  $P_{\Delta k} = \mathcal{F}_x^{-1} \chi_{\{|\xi| \sim 2^k\}} \mathcal{F}_x$ , so that

$$\|P_{\ll}(u_{+}, v_{+})\|_{\widehat{L_{xt}^r}} \leq \sum_{k \geq 0} \|P_{\ll}(P_{\Delta k} u_{+}, v_{+})\|_{\widehat{L_{xt}^r}}.$$

Now by [1, Lemma 12.2] we have

$$(8) \quad \int_{P_{\pm}(\eta)=\tau} \frac{dS_{\eta}}{|\nabla P_{\pm}(\eta)|} \chi_{\{2^k \sim |\frac{\xi}{2} - \eta| \ll |\frac{\xi}{2} + \eta|\}} \lesssim 2^{2k},$$

hence a Hölder application as above gives

$$\|P_{\ll}(P_{\Delta k} u_{+}, v_{+})\|_{\widehat{L_{xt}^r}} \lesssim 2^{\frac{2k}{r}} \|P_{\Delta k} u_0\|_{\widehat{L_x^r}} \|v_0\|_{\widehat{L_x^r}}.$$

Summing up the dyadic pieces and combining the result with (7) we obtain for  $\sigma > \frac{2}{r}$

$$(9) \quad \|u_+ v_+\|_{\widehat{L_{xt}^r}} \lesssim \|J_x^\sigma u_0\|_{\widehat{L_x^r}} \|v_0\|_{\widehat{L_x^r}}.$$

The convolution constraint  $\xi = \xi_1 + \xi_2 = (\frac{\xi}{2} - \eta) + (\frac{\xi}{2} + \eta)$  implies  $\langle \xi \rangle^\sigma \lesssim \langle \xi_1 \rangle^\sigma + \langle \xi_2 \rangle^\sigma = \langle \frac{\xi}{2} - \eta \rangle^\sigma + \langle \frac{\xi}{2} + \eta \rangle^\sigma$ , and we may exchange  $u_0$  and  $v_0$  in (9). This gives

$$(10) \quad \|J_x^\sigma(u_+ v_+)\|_{\widehat{L_{xt}^r}} \lesssim \|J_x^\sigma u_0\|_{\widehat{L_x^r}} \|J_x^\sigma v_0\|_{\widehat{L_x^r}},$$

provided  $\sigma > \frac{2}{r}$ . In (10) we may clearly replace  $J_x^\sigma(u_+ v_+)$  by  $J_x^{\sigma-1} \partial_t(u_+ v_+)$ , since in the support of  $\mathcal{F}(u_+ v_+)$  we have  $\tau = |\frac{\xi}{2} - \eta| + |\frac{\xi}{2} + \eta|$  and hence  $\langle \xi \rangle^{\sigma-1} |\tau| \leq \langle \frac{\xi}{2} - \eta \rangle^\sigma + \langle \frac{\xi}{2} + \eta \rangle^\sigma$ . Thus we have shown:

**Lemma 1.** *Let  $1 \leq r \leq 2$  and  $\sigma > \frac{2}{r}$ . Then*

$$\|J_x^\sigma(u_+ v_+)\|_{\widehat{L_{xt}^r}} + \|J_x^{\sigma-1} \partial_t(u_+ v_+)\|_{\widehat{L_{xt}^r}} \lesssim \|u_0\|_{\widehat{H_\sigma^r}} \|v_0\|_{\widehat{H_\sigma^r}}.$$

**3.2. The hyperbolic case.** The estimation in this case goes along similar lines as in section 3.1, as long as

$$(11) \quad |\frac{\xi}{2} - \eta| + |\frac{\xi}{2} + \eta| \leq c_1 |\xi|.$$

If (11) is fulfilled, we choose again  $s_{1,2} \in (0, \frac{2}{r})$  with  $s_1 + s_2 = \frac{2}{r}$  and obtain from [1, Lemma 4.4] that

$$\begin{aligned} \int_{P_-(\eta)=\tau} \frac{dS_\eta}{|\nabla P_-(\eta)|} |\frac{\xi}{2} - \eta|^{-s_1 r} |\frac{\xi}{2} + \eta|^{-s_2 r} \chi_{\{(11)\}} \\ = c \int_1^{c_1} |\frac{\tau}{|\xi|} + x|^{1-s_1 r} |\frac{\tau}{|\xi|} - x|^{1-s_2 r} dx \leq c_{s_1, s_2}, \end{aligned}$$

which gives

$$\|u_+ v_-\|_{\widehat{L_{xt}^r}} \lesssim \|J_x^{s_1} u_0\|_{\widehat{L_x^r}} \|J_x^{s_2} v_0\|_{\widehat{L_x^r}}$$

and hence

$$(12) \quad \|P_{\gtrsim}(u_+, v_-)\|_{\widehat{L_{xt}^r}} \lesssim \|J_x^{\frac{2}{r}} u_0\|_{\widehat{L_x^r}} \|v_0\|_{\widehat{L_x^r}}.$$

A dyadic decomposition together with (8) shows that

$$(13) \quad \|P_{\ll}(P_{\Delta k} u_+, v_-)\|_{\widehat{L_{xt}^r}} \lesssim 2^{\frac{2k}{r}} \|P_{\Delta k} u_0\|_{\widehat{L_x^r}} \|v_0\|_{\widehat{L_x^r}},$$

and combining (12) and (13) after summation in  $k$  we arrive at

$$\|u_+ v_-\|_{\widehat{L_{xt}^r}} \lesssim \|J_x^\sigma u_0\|_{\widehat{L_x^r}} \|v_0\|_{\widehat{L_x^r}},$$

provided  $1 \leq r \leq 2$ ,  $\sigma > \frac{2}{r}$ , and  $u_+ v_-$  fulfills assumption (11). To fix a partial result concerning the hyperbolic case, let  $P(u, v)$  denote the projection on the domain in Fourier space, where (11) holds. Then, taking into account the arguments at the end of Section 3.1, we have the following estimate.

**Lemma 2.** *Let  $1 \leq r \leq 2$  and  $\sigma > \frac{2}{r}$ . Then*

$$\|J_x^\sigma P(u_+, v_-)\|_{\widehat{L_{xt}^r}} + \|J_x^{\sigma-1} \partial_t P(u_+, v_-)\|_{\widehat{L_{xt}^r}} \lesssim \|u_0\|_{\widehat{H_\sigma^r}} \|v_0\|_{\widehat{H_\sigma^r}}.$$

We turn to the region, where

$$(14) \quad c_1 |\xi| < |\frac{\xi}{2} - \eta| + |\frac{\xi}{2} + \eta|,$$

and denote the projection thereon by  $Q(u, v)$ . We apply again [1, Lemma 4.4] with  $F(|\frac{\xi}{2} - \eta|, |\frac{\xi}{2} + \eta|) = |\frac{\xi}{2} - \eta|^{-s_1 r} |\frac{\xi}{2} + \eta|^{-s_2 r} \chi_{\{(14)\}}$ , where  $s_{1,2} \geq 0$  and  $s_1 + s_2 = \frac{3}{r} + \varepsilon$ . This gives

$$\begin{aligned} & \int_{P_-(\eta)=\tau} \frac{dS_\eta}{|\nabla P_-(\eta)|} |\frac{\xi}{2} - \eta|^{-s_1 r} |\frac{\xi}{2} + \eta|^{-s_2 r} \chi_{\{(14)\}} \\ &= c \int_{c_1}^\infty |\tau + |\xi|x|^{1-s_1 r} \tau - |\xi|x|^{1-s_2 r} dx \\ &= c |\xi|^{2-(s_1+s_2)r} \int_{c_1}^\infty |\frac{\tau}{|\xi|} + x|^{1-s_1 r} |\frac{\tau}{|\xi|} - x|^{1-s_2 r} dx \lesssim |\xi|^{2-(s_1+s_2)r}, \end{aligned}$$

which in turn implies

$$(15) \quad \|D_x^{s_1+s_2-\frac{2}{r}} Q(u_+, v_-)\|_{\widehat{L}_{xt}^r} \lesssim \|J_x^{s_1} u_0\|_{\widehat{L}_x^r} \|J_x^{s_2} v_0\|_{\widehat{L}_x^r}.$$

Bilinear interpolation of (15) with  $r = 1$  and

$$\|u_+ v_-\|_{L_{xt}^2} \lesssim \|J_x^{\sigma_1} u_0\|_{L_x^2} \|J_x^{\sigma_2} v_0\|_{L_x^2}, \quad (\sigma_{1,2} \geq 0, \sigma_1 + \sigma_2 > 1),$$

which follows from Strichartz estimate, gives the sharpened version

$$\|D_x^s Q(u_+, v_-)\|_{\widehat{L}_{xt}^r} \lesssim \|J_x^{s_1} u_0\|_{\widehat{L}_x^r} \|J_x^{s_2} v_0\|_{\widehat{L}_x^r},$$

where  $1 \leq r \leq 2$ ,  $s = (1 - \frac{2}{r})(1 + \varepsilon)$ ,  $s_{1,2} \geq 0$  with  $s_1 + s_2 = 3 - \frac{4}{r} + \varepsilon$  and  $\varepsilon > 0$ . If in addition  $r > 1$  and  $\varepsilon$  is sufficiently small, so that  $s \leq 1$ , we may replace the  $D_x^s$  by  $J_x^{s-1} \partial_x$  and hence by  $J_x^{-\frac{2}{r}} \partial_x$ . This gives

$$\|J_x^{-\frac{2}{r}} \partial_x Q(u_+, v_-)\|_{\widehat{L}_{xt}^r} \lesssim \|J_x^{s_1} u_0\|_{\widehat{L}_x^r} \|J_x^{s_2} v_0\|_{\widehat{L}_x^r}$$

for all  $r \in (1, 2]$  and  $s_{1,2} \geq 0$  with  $s_1 + s_2 > 3 - \frac{4}{r}$ . Using once more  $\langle \xi \rangle \leq \langle \frac{\xi}{2} - \eta \rangle + \langle \frac{\xi}{2} + \eta \rangle$  we conclude for  $\sigma > \frac{2}{r}$  that

$$\|J_x^{\sigma-1} \partial_x Q(u_+, v_-)\|_{\widehat{L}_{xt}^r} \lesssim \|u_0\|_{\widehat{H}_\sigma^r} \|v_0\|_{\widehat{H}_\sigma^r},$$

which also holds true with  $\partial_t$  instead of  $\partial_x$ , since we are in the hyperbolic case, where  $|\tau| \leq |\xi|$ . Summarizing we have:

**Lemma 3.** *Let  $1 < r \leq 2$  and  $\sigma > \frac{2}{r}$ . Then*

$$\|J_x^{\sigma-1} \partial_x Q(u_+, v_-)\|_{\widehat{L}_{xt}^r} + \|J_x^{\sigma-1} \partial_t Q(u_+, v_-)\|_{\widehat{L}_{xt}^r} \lesssim \|u_0\|_{\widehat{H}_\sigma^r} \|v_0\|_{\widehat{H}_\sigma^r}.$$

Now the crucial estimate (6) follows from the Lemmas 1, 2, and 3.

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